

May 12, 2026

To,
BSE Limited
Corporate Relationship Department,
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai-400001.

Scrip Code: 543284
Symbol: EKI

Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2026 under regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Madam,

Pursuant to regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed herewith the Annual Secretarial Compliance Report dated May 11, 2026 for the Financial Year ended on March 31, 2026 issued by M/s. Agrawal Mundra & Associates, Company Secretaries, the Secretarial Auditor of the Company.

The above information will also be made available on the website of the Company at www.enkingint.org

We request you to kindly take the above information on record.

Thanking you

For **EKI Energy Services Limited**

Mohit Kumar Agarwal
Whole Time Director and Chief Financial Officer
DIN: 09459334

Encl: a/a



Agrawal Mundra & Associates

Company Secretaries

ANNUAL SECRETARIAL COMPLIANCE REPORT
(Pursuant to Regulation 24A of Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015)
of
EKI ENERGY SERVICES LIMITED
for the financial year ended March 31, 2026

We, Agrawal Mundra & Associates, Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by the **EKI Energy Services Limited (CIN: L74200MP2011PLC025904)** ('the Listed Entity' or 'the Company');
- (b) the filings/ submissions made by the listed entity to the Stock Exchanges;
- (c) website of the Company; and
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the financial year ended on March 31, 2026 ("Review Period") in respect of compliance with the provisions of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, includes:



- (a) The Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended;
- (b) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015, as amended;
- (c) The Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018, as amended;
- (d) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, as amended;
- (e) The Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021;

Provisions of the following Regulations and Circulars/Guidelines prescribed under the SEBI Act were not applicable to the Company during the Review Period:

- a. The Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;
- b. The Securities and Exchange Board of India (Issue and Listing of Non-Convertible Securities) Regulations, 2021;
- c. The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021;
- d. The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993; and
- e. The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

and circulars/ guidelines issued thereunder;

and based on the above examination, we hereby report that, during the Review Period:

- a. The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:



Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken By	Type of Action	Details of violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	The Listed Entity shall submit the Voting Results to the Stock Exchange within two working days of conclusion of its General Meeting.	Regulation 44(3) of the SEBI (LODR) Regulations, 2015.	The Company has filed the Voting Results on May 06, 2025 i.e., exceeding 2 working days from the last date of remote e-voting period i.e., May 03, 2025.	BSE Limited	Fine	Delay in submission of e-voting results of Postal Ballot under Regulation 44(3) of SEBI (LODR) Regulations, 2015, as the results were submitted on May 06, 2025 against the due date of May 03, 2025	Rs. 10,000	The delay in submission of voting results has been noted. Based on the review of available records, no material impact on the financial position, operations or other activities of the Company, quantifiable in monetary terms, has come to notice. The Company has duly paid the applicable fine of Rs. 10,000/- on June 23, 2025.	The Company has filed delayed submission of e-voting results of Postal Ballot under Regulation 44(3) of SEBI (LODR) Regulations, 2015 on May 6, 2025. Also, Company has duly paid the fine levied by BSE Limited.	-



2.	The Listed Entity is required to submit the Shareholding Pattern to the Stock Exchange on a quarterly basis within 21 days from the end of each quarter.	Regulation 31 of the SEBI (LODR) Regulations, 2015.	The Company submitted the Shareholding Pattern for the quarter ended June 2025 on July 22, 2025, which was beyond the stipulated period of 21 days from the end of the quarter	BSE Limited	Fine	Delay in submission of Shareholding Pattern for the quarter ended June 2025 under Regulation 31 of SEBI (LODR) Regulations, 2015, exceeding the stipulated period of 21 days from the end of the quarter.	Rs. 2,360	The delay in submission of the Shareholding Pattern has been noted. The Company has informed that the applicable fine, if any, has been duly paid	The Company has filed the shareholding Pattern for the quarter ended June 2025, on July 22, 2025. Also, the Company has duly paid the fine levied by BSE Limited.	-
3.	Prior intimation of the Board Meeting at which financial results are to be considered shall be furnished not less than two working days in advance, excluding the date of intimation and the date of the meeting.	Regulation 29(2) / 29(3) of SEBI (LODR) Regulations, 2015	Delay in furnishing prior intimation of the meeting of the Board of Directors within the prescribed timeline.	BSE Limited	Fine	Delay in furnishing prior intimation of the Board Meeting dated November 6, 2025, as the intimation was given on November 3, 2025, and November 5, 2025 being a public holiday, resulted in non-compliance with the requirement of two clear	Rs. 11,800	The delay in furnishing prior intimation of the Board Meeting has been noted.	The Company has filed delayed prior intimation of the Board Meeting on November 3, 2025 for the meeting which was held on November 6, 2025 and November 5, 2025 being a public holiday, resulted in non-compliance with the requirement of two clear working days' notice. Also, the	-



						working days' notice.			Company has duly paid the fine levied by BSE Limited.	
4.	The Listed Entity shall submit the Voting Results to the Stock Exchange within two working days of conclusion of its General Meeting.	Regulation 44(3) of the SEBI (LODR) Regulations, 2015.	The Company has filed the Voting Results on Nov 11, 2025 i.e., exceeding 2 working days from the date of Extraordinary General Meeting held on Nov 07, 2025.	BSE Limited	Fine	Delay in submission of e-voting results of Postal Ballot under Regulation 44(3) of SEBI (LODR) Regulations, 2015, as the results were submitted on Nov 11, 2025 against the due date of Nov 09, 2025.	Rs. 11,800	The delay in submission of voting results has been noted. Based on the review of available records, no material impact on the financial position, operations or other activities of the Company, quantifiable in monetary terms, has come to notice. The Company has duly paid the applicable fine of Rs. 11,800/- on Dec 23, 2025.	The Company has filed delayed submission of e-voting results of Postal Ballot under Regulation 44(3) of SEBI (LODR) Regulations, 2015 on November 11, 2025. Also, Company has duly paid the fine levied by BSE Limited.	-



b. The Company has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Compliance Requirement (Regulations / circulars / guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken By	Type of Action	Details of violation	Fine Amount	Observations / Remarks of the Practicing Company Secretary	Management Response	Remarks
Not Applicable										

c. We hereby report that, during the review period the compliance status of the Company with the following requirements:

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS
1.	<u>Secretarial Standard</u> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI) as notified by the Central Government under Section 118 (10) of the Companies Act, 2013 and mandatorily applicable.	Yes	None
2.	<u>Adoption and timely updation of the Policies:</u>		
	<ul style="list-style-type: none"> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. 	Yes	None
	<ul style="list-style-type: none"> All the policies are in conformity with SEBI Regulations and have been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI. 	Yes	None
3.	<u>Maintenance and disclosures on Website:</u>		
	<ul style="list-style-type: none"> The Company is maintaining a functional website. 	Yes	None
	<ul style="list-style-type: none"> Timely dissemination of the documents/ information under a separate section on the website. 	Yes	None



	<ul style="list-style-type: none"> Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/ section of the website. 	Yes	None
4	<u>Disqualification of Director:</u> None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013	Yes	None
5.	<u>To examine details related to subsidiaries of listed entities:</u>		
	a. Identification of material subsidiary companies.	NA	The Company does not have any material subsidiary Company. The requirements with respect to disclosure of other subsidiaries are complied.
	b. Requirements with respect to disclosure of material as well as other subsidiaries.	Yes	
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015	Yes	None
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	Yes	None
8.	<u>Related Party Transactions:</u>		
	(a) The listed entity has obtained prior approval of Audit Committee for all related party transactions.	Yes	None



	(b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ ratified/ rejected by the Audit committee.	N.A.	The Company has obtained prior approval of Audit Committee for all Related Party Transactions.
9.	<u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 & Regulation 30A along with Schedule III of SEBI LODR Regulations, 2015, as amended, within the time limits prescribed thereunder.	Yes	None
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	None
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No actions taken against the listed entity/its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder. or The actions taken against the Company / its Promoters/ Directors/ Subsidiaries either by SEBI or by Stock Exchanges are specified in the last column.	Yes	During the year under review, BSE Limited levied fines on the Company for delays in filing certain reports/documents/statements as prescribed under the SEBI (LODR) Regulations, 2015, as detailed above. Apart from the same, no other fines or penalties were imposed on the



			Company during the financial year 2025–2026.
12.	<p><u>Resignation of Statutory Auditors from the Company or its Material Subsidiaries:</u></p> <p>In case of resignation of Statutory Auditor from the Company or any of its Material Subsidiaries during the Financial Year, the Company and / or its Material Subsidiary (ies) has / have complied with Paragraph 6.1 and 6.2 of Section V-D of Chapter V of the Master Circular on compliance with the provisions of the LODR Regulations by the Company.</p>	N.A.	There was no Resignation of statutory auditors from the Company during the review period.
13.	<p><u>Additional Non-compliances, if any:</u></p> <p>No additional non-compliance observed for all SEBI regulation/circular/guidance note etc.</p>	NA	No additional non-compliance was observed.


Assumptions & Limitation of scope and Review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial Records and Books of Accounts of the listed entity.
4. This Report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.



5. The audit was conducted in accordance with the Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India (ICSI), involving such examinations and verifications as deemed necessary and adequate for the purpose

For **Agrawal Mundra & Associates**
Company Secretaries



Aditya Agrawal
Partner

M. No.: A57913

C.P. No.: 22030

UDIN: A057913H000322861

Place: Indore

Date: May 11, 2026

Peer Review Certificate No.: 4758/2023